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TRANSMITTAL FORM <i>(to be used for all correspondence after initial filing)</i>	Application Number	09/931,149
	Filing Date	August 16, 2001
	First Named Inventor	David Rios, et al.
	Group Art Unit	2164
	Examiner Name	not yet known
Total Number of Pages in This Submission	Attorney Docket Number	016422/142

ENCLOSURES (check all that apply)

<input type="checkbox"/> Fee Transmittal Form <input type="checkbox"/> Fee Attached <input type="checkbox"/> Amendment / Reply <input type="checkbox"/> After Final <input type="checkbox"/> Affidavits/declaration(s) <input type="checkbox"/> Extension of Time Request <input type="checkbox"/> Express Abandonment Request <input checked="" type="checkbox"/> Information Disclosure Statement <input type="checkbox"/> Certified Copy of Priority Document(s) <input type="checkbox"/> Response to Missing Parts/Incomplete Application <input type="checkbox"/> Response to Missing Parts under 37 CFR 1.52 or 1.53	<input type="checkbox"/> Assignment Papers (for an Application) <input type="checkbox"/> Drawing(s) <input type="checkbox"/> Licensing-related Papers <input type="checkbox"/> Petition <input type="checkbox"/> Petition to Convert to a Provisional Application <input type="checkbox"/> Power of Attorney, Revocation Change of Correspondence Address <input type="checkbox"/> Terminal Disclaimer <input type="checkbox"/> Request for Refund <input type="checkbox"/> CD, Number of CD(s) _____ Remarks	<input type="checkbox"/> After Allowance Communication to Group <input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences <input type="checkbox"/> Appeal Communication to Group (Appeal Notice, Brief, Reply Brief) <input type="checkbox"/> Proprietary Information <input type="checkbox"/> Status Letter <input checked="" type="checkbox"/> Other Enclosure(s) (please identify below): Fennholz USP 5,819,238 Bloom, et al., USP 6,061,663 "New OMB Index Aids Investor" GOLBIN "Nationsbank Unveils..." PAWLAK "MBS Index Returns..." DYNKIN, et al. IDS Transmittal Letter to the Commissioner Certificate of Express Mailing Self-addressed stamped postcard
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SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm or Individual name	Donna L. Angotti
Signature	
Date	November 16, 2001

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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Serial No.: 09/931,149
Title: METHOD AND SYSTEM FOR MANAGING A MORTGAGE-
BACKED SECURITIES INDEX
Applicant: David RIOS, et al.
Filed: August 16, 2001
Examiner: Not yet known
Group Art Unit: 2164
Docket No.: 016422/142

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LETTER TO THE COMMISSIONER:
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The enclosed Information Disclosure Statement was originally taken to the U.S. Post Office for Express Mailing on November 16, 2001; however, the U.S. Post Office has advised the undersigned that Express Mail addressed to Washington, D.C., zip code 20231, is no longer being accepted until further notice.

In a telephone conversation with Examiner David Bruce of the U.S. International Receiving Office, the undersigned was advised that this paper, signed by an attorney listed on the Declaration and Power of Attorney on record, together with the originally rejected papers to be filed, would satisfy the Patent and Trademark mailing requirements and nullify missed deadlines directly resulting from the temporary mailing restriction.

Accordingly, enclosed with this statement is the Information Disclosure Statement, the accompanying transmittal papers (dated November 16, 2001), together with a copy of the Express Mail Label indicated on the November 16 mailing certificate, and a new Certificate of Express Mailing indicating today's date and the new Express Mail Label Number.


The undersigned was also advised that PTO Filings may be mailed via Express Mail, addressed to the Commissioner of Patents, 2900 Crystal Drive, Arlington, VA 22202-3513.

If there is any further requirement necessary to maintain the pendency of this Application, the Examiner is respectfully requested to contact the undersigned so that the disparity can be remedied.

Respectfully submitted,

SCHULTE ROTH & ZABEL LLP
Attorneys for Applicants
919 Third Avenue
New York, New York 10022
(212) 756-2000

Dated: November 19, 2001
New York, New York

By: 
Donna L. Angotti
Reg. No. 32,679



8P/2164

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant: David RIOS, et al.

Filed: August 16, 2001

Examiner: Not yet known

Group Art Unit: 2164

Docket No.: 016422/142

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Respectfully submitted,
SCHULTE ROTH & ZABEL LLP
Attorneys for Applicants
919 Third Avenue
New York, New York 10022

By: 
Donna L. Angotti
Reg. No. 32,679

Dated: November 19, 2001
New York, New York



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Signature: *Donna L. Angotti*

Respectfully submitted,
SCHULTE ROTH & ZABEL LLP
Attorneys for Applicants
919 Third Avenue
New York, New York 10022

By: *Donna L. Angotti*
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